NORTH RALEIGH PSYCHIATRY, P.A. 5530 Munford Rd, Ste 119, Raleigh, NC 27612 (919) 782-9554 (919) 782-9130 fax Tax ID# 56-1172359

NOTICE OF PRIVACY PRACTICES - HIPAA (Effective September 10, 2013)

WE ARE COMMITTED TO PROTECTING THE PRIVACY OF YOUR HEALTH INFORMATION. THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. <u>PLEASE REVIEW IT CAREFULLY</u>. If you have questions about this document or any other questions regarding the privacy of your medical information, please call our office at (919) 782-9554.

OUR PLEDGE REGARDING HEALTH INFORMATION: We are committed to protecting the privacy of health information about you and that can identify you, which we call "protected health information". Protected health information includes information about your past, present or future health, healthcare we provide you, and payment for your healthcare contained in the record of care and services provided by North Raleigh Psychiatry and its medical and administrative staff. Our privacy practices concerning your protected health information are as follows: 1) We will safeguard the privacy of protected health information that we have created or received; 2) We will explain how, when and why we use and/or disclose your protected health information as described in this Notice.

HOW WE MAY USE AND DISCLOSE YOUR PROTECTED HEALTH INFORMATION: The following categories describe different ways that we may use and disclose your protected health information. Not every use or disclosure in a category will be listed. However, all of the ways we are permitted to use and disclose information will fall within at least one of the categories.

- For Treatment. We may use your protected health information to provide, coordinate or manage your health care treatment and related services.
- Individuals Involved in Your Care or Payment for Your Care. We may use or disclose your protected health information with a person or persons involved in your medical care or payment for your care, such as a family member or a close friend. We may also notify your family about your location or general condition or disclose such information to an entity assisting in a disaster relief effort.
- <u>Other Payments.</u> We may use and disclose your protected health information to other providers, insurance companies, consumer reporting agencies or credit bureaus so they may bill and collect payment for treatment and services they and we have provided to you.
- <u>For Health Care Operations.</u> We may use and disclose your protected health information for health care operations. These uses and disclosures are necessary to make sure that all of our patients receive quality care and to operate and manage our office.
- <u>Appointment Reminders.</u> We may use and disclose protected health information to provide a reminder to you about an appointment you have for treatment or medical care at North Raleigh Psychiatry.
- <u>Treatment Alternatives.</u> We may use and disclose your protected health information to manage and coordinate your health care and inform you of treatment alternatives or health-related benefits that may be of interest to you.
- Research. Under certain circumstances, we may use and disclose your protected health information for research. Before we use or disclose your protected health information for research, the project will go through a special approval process. Even without special approval, we may permit researchers to look at records to help them identify patients who may be included in their research project or for other similar purposes, as long as they do not remove or take a copy of any protected health information.

<u>SPECIAL SITUATIONS:</u> We may use and/or disclose protected health information about you for a number of circumstances in which you do not have to consent, give authorization or otherwise have an opportunity to agree or object. Those circumstances include:

- <u>As Required by Law.</u> We will disclose your protected health information when required to do so by federal, state or local law or other judicial or administrative proceedings. In the event that North Carolina Law requires us to give more protection to your health information than stated in this Notice or required by Federal Law, we will give that additional protection to your health information.
- To Avert a Serious Threat to Health or Safety. We may use and/or disclose your health information when necessary to prevent a serious threat your health and safety or the health and safety of the public or another person. Any disclosure, however, would only be to someone able to help prevent or reduce the threat.
- <u>Business Associates</u>. We may disclose your protected health information to our business associates that perform functions on our behalf or provide us with services if the information is necessary for such functions or services. All of our business associates are obligated to protect the privacy of your information and are not allowed to use or disclose any information other than as specified in our contract.
- <u>Organ and Tissue Donation.</u> We may release your protected health information to organizations that handle organ procurement or organ, eye or tissue transplantation or to an organ donation bank, as necessary to facilitate organ or tissue donation and transplantation.
- <u>Military and Veterans.</u> If you are a member of the armed forces, we may release your protected health information as required by military command authorities. We may also release this information to the appropriate foreign military authorities if you are a member of a foreign military.
- Workers Compensation. We may release your protected health information for workers' compensation or similar programs that provide benefits for work-related injuries or illness.

- <u>Public Health Risks.</u> We may disclose your health information to appropriate government authorities for public health activities. These activities include, but not limited to: a) preventing or controlling disease, injury or disability; b) reporting births and deaths; c) reporting child abuse or neglect; d) reporting reactions to medications or problems with products; e) notifying people who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition; f) notifying the appropriate government authorities if we believe a patient has been the victim of abuse, neglect or domestic violence; g) To support public health surveillance and combat bio-terrorism. We will only make this disclosure if you agree or when required or authorized by law.
- <u>Health Oversight Activities.</u> We may use or disclose your protected health information to a health oversight agency for activities authorized by law, such as audits, investigations, inspections and licensures, which are necessary for the government to monitor the health care system and comply with civil rights laws.
- <u>Data Breach Notification Purposes.</u> We may use or disclose your protected health information to provide legally required notices of unauthorized access to, or disclosure of, your health information.
- <u>Lawsuits and Disputes.</u> If you are involved in a lawsuit or a dispute, we may use or disclose your protected health information in response to a court or administrative order. We may also disclose your health information in response to a subpoena, discovery request or other lawful proves by someone else involved in the dispute, but only if efforts have been made to tell you about the request or to obtain an order protecting the information requested.
- <u>Law Enforcement.</u> We may use or disclose your protected health information if asked to do so by a law enforcement official if the information is: a) in response to a court or administrative order, subpoena, warrant, summons or similar process; b) limited information used to identify or locate a suspect, fugitive, material witness or missing person; c) about the victim of a crime, even if, under certain limited circumstances, we are unable to obtain the person's agreement; d) about a death we believe may be the result of criminal conduct; e) about criminal conduct occurring on our premises; f) to be used in an emergency to report a crime, the location of a crime or victims, or the identity, description or location of the person suspected of committing the crime.
- <u>Coroners, Medical Examiners and Funeral Directors.</u> We may use or disclose your protected health information to a coroner or medical examiner for the purpose of identifying a deceased person or to determine the cause of death. We may also use or disclose your protected health information to funeral directors as necessary for them to carry out their duties.
- <u>National Security and Intelligence Activities.</u> We may use or disclose your protected health information to authorized federal officials for intelligence, counter-intelligence, and other national security activities authorized by law.
- <u>Protective Services for the President and Others.</u> We may use or disclose your protected health information to authorized federal officials so they may provide protection to the President, other authorized persons or foreign heads of state or to conduct special investigations.
- <u>Inmates or Individuals in Custody.</u> If you are an inmate of a correctional institution or under the custody of a law enforcement official, we may release your protected health information to the correctional institution or law enforcement official. This release is required: (1) for the institution to provide you with health care; (2) to protect your health and safety or the health and safety of others; and (3) for the safety and security of the correctional institution.

USES AND DISCLOSURES THAT REQUIRE US TO GIVE YOU AN OPPORTUNITY TO OBJECT AND CHOOSE

- <u>Individuals Involved in Your Care or Payment for Your Care.</u> Unless you object, we may disclose your protected health information to a member of your immediate family, other relative, close friend or any other person you identify that directly relates to that person's involvement in your health care. If you are unable to agree or object to such a disclosure, we may disclose such information as necessary if we determine that it is in your best interest based on our professional judgment. <u>NOTE: If you object, you must inform us in writing and submit it to the Office Manager at the address listed at the top of the first page of this notice.</u>
- <u>Disaster Relief.</u> We may disclose your protected health information to disaster relief organizations to coordinate your care, or to notify family and friends of your location or condition in a disaster. We will provide you with an opportunity to agree or object to such a disclosure whenever possible.

OTHER USES OF HEALTH INFORMATION

Other uses and disclosures of health information not covered by this Notice or the laws that apply to us will be made only with your written permission. Also, when consent to disclosure is required by state law, your consent will be obtained prior to such disclosure. If you provide us permission to use or disclose your health information, you may revoke that permission, in writing, at any time. If you revoke your permission, we will no longer use or disclose your health information for the reasons covered by your written authorization. We are unable to take back any disclosures we have already made with your permission. We are required to retain records of the care that we provided to you.

YOUR RIGHTS REGARDING YOUR PROTECTED HEALTH INFORMATION: You have to following rights regarding the health information we maintain about you:

• Right to Inspect and Copy. You have the right to inspect and obtain a copy of your protected health information. To inspect and/or obtain a copy of your protected health information, please call (919) 782-9554 for instructions on how to submit your written request. If you request a copy of the information, we may charge a fee for the costs of copying, mailing or other supplies associated with your request. We will respond to you within 30 days of receiving your written request. We may not charge you a fee if you need the information for a claim for benefits under the Social Security Act or any other state or federal needs-based benefit

program. There are certain circumstances in which we are not required to comply with your request. Under these circumstances, we will respond to you in writing, stating why we will not grant your request and describing any rights you may have to request a review of our denial. The person conducting the review will not be the person who denied your original request. We will comply with the outcome of the review.

- Right to an Electronic Copy of Electronic Medical Records. If your protected health information is maintained or stored in an electronic format (also known as EMR-Electronic Medical Record or EHR-Electronic Health Record), you have the right to request that an electronic copy of your record be given to you or transmitted to another individual or entity. We will make every effort to provide access to your protected health information in the form or format you request, if it is readily producible in such form or format. If the information is not readily producible in such form or format that you request, your information will be provided in our standard electronic format. If you do not want this form or format, your information will be provided in a readable hard copy form. We may charge you a reasonable fee for the labor associated with transmitting the electronic medical record.
- **Right to Get Notice of a Breach.** You have the right to be notified upon a breach of any of your protected health information.
- <u>Right to Amend.</u> You have the right to request that we make amendments to clinical, billing and other records used to make decisions about you or your health care. Your request must be in writing and must explain your reason(s) for the amendment. We may deny your request if: a) The information was not created by us, unless the person or entity that created the information is no longer available to make the amendment; b) The information is not part of the health information used to make decisions about you; c) We believe the information is correct and complete; d) You would not have the right to inspect and copy the record as described above. We will tell you in writing the reason(s) for the denial and describe your rights to give us a written statement disagreeing with the denial. If we accept your request to amend the information, we will make reasonable efforts to inform others of the amendment, including persons you name that have received your protected health information. Please submit your request for amendment in writing to: North Raleigh Psychiatry, 5530 Munford Road, Suite 119, Raleigh, NC 27612. Your written request should include your full name, date of birth and any other pertinent information needed to identify your record.
- <u>Right to an Accounting of Disclosures.</u> You have the right to receive a written list of certain disclosures we made of your protected health information for purposes other than treatment, payment and health care operation or for which you provided written authorization. This does not include any disclosures made before April 14, 2003, and is limited to disclosures made within the last six (6) years. If you request this accounting more than once in a 12-month period, we may charge a reasonable cost-based fee for responding to the additional requests. To request this list or accounting of disclosures, you must submit your request in writing to: North Raleigh Psychiatry, 5530 Munford Road, Suite 119, Raleigh, NC 27612. Please include your full name and date of birth with your written request.
- Right to Request Restrictions. You have the right to request that we restrict or limit the use and disclosure of your protected health information with regards to treatment, payment or health care operations as well as disclosure to someone involved in your care or payment for your care. We are not required to agree to your requested restrictions unless you are asking us to restrict the use and disclosure of your protected health information to a health plan for payment or health care operation purposes, and such information you wish to restrict pertains solely to a health care item or service for which you have paid us "out-of-pocket" in full. If we agree, we will comply with your request unless the information is needed a) to provide you with emergency treatment, b) for disclosure to the Secretary of the Department of Health and Human Services and/or c) uses and disclosures that do not require your authorization. You may request a restriction by submitting the request in writing to: North Raleigh Psychiatry, 5530 Munford Road, Suite 119, Raleigh, NC 27612. Please include your full name and date of birth with the request.
- <u>Right of Refusal to File Insurance.</u> If you have paid out-of-pocket in full for a specific item or service that we have provided, you have the right to ask that your protected health information not be disclosed or shared with a health plan for purposes of payment or health care operations with respect to that item or service, and we will honor that request.
- <u>Right to Request Alternative and/or Confidential Communications.</u> You have the right to request how and where we contact you about your protected health information. Your request must be in writing. We will accommodate reasonable requests, but when appropriate, may condition that accommodation on your providing us with information regarding how payment, if any, will be handled and your specification of an alternative address or other method of contact. You may request alternative means of communications by submitting a written request to: North Raleigh Psychiatry, 5530 Munford Road, Suite 119, Raleigh, NC 27612. Please include your full name and date of birth on the request.
- Right to a Paper Copy of This Notice. You have a right to a paper copy of this notice at any time. You may obtain a copy of this notice on our website at www.nrpsych.com, under the "Office Information" section. To request a paper copy of this notice, you may visit our office located at 5530 Munford Road, Suite 119, Raleigh, NC 27612.

CONTACT FOR QUESTIONS OR COMPLAINTS

If you have any questions regarding this Notice or if you believe your privacy rights have been violated or you wish to file a complaint about our privacy practices, you may contact the Office Manager by telephone at (919) 782-9554, or in writing at North Raleigh Psychiatry, 5530 Munford Road, Suite 119, Raleigh, NC 27612. Please provide your full name and date of birth with your request/complaint. You may also send a written complaint to the United States Secretary of the Department of Health and Human Services. You will not be penalized for filing a complaint.

CHANGES TO THIS NOTICE

We reserve the right to change the terms of this Notice and to make new notice provisions effective for all protected health information that we maintain by: 1) Posting the revised notice at our facilities; and/or 2) Making copies of the revised notice available upon request (either at our facilities or through the contact listed in this notice).